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Practitioner's Docket No. 02165PCT

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Masakazu KOBAYASHI;
Nippon Shokubai Co., Ltd.
Serial No.: 10/525,848
Filed: February 22, 2005
For: Compound Semiconductor Particles and Production Process
Therefor
Examiner:
Group No.:
Confirmation No.:

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

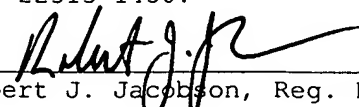
Information Disclosure Statement

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant hereby submits the reference(s) listed on the attached Form(s) 1449 (or equivalent) such that said reference(s) may be considered and made of record. A copy of each of the cited reference(s) is enclosed. No representation is made that any of the listed reference(s) is prior art pursuant to 35 U.S.C. § 102 and/or 35 U.S.C. § 103.

This Information Disclosure Statement is being filed in accordance with the following provision(s):

{18924.DOC}

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Robert J. Jacobson, Reg. No. 32,419

37 CFR 1.97(b)(1) Within three months of the filing date of the national application. No fee is required.

37 CFR 1.97(b)(3) Before the mailing date of a first Office Action on the merits. No fee is required.

37 CFR 1.98(c) When the disclosures of two or more patents or publications listed in an information disclosure statement are substantively cumulative, a copy of one of the patents or publication may be submitted without copies of the other patents or publications, provided that it is stated that these other patents or publications are cumulative. The following references are being submitted under this provision where each of the references within the following groups of references is believed to be cumulative of the other reference in said group:

- 1) JP-A-104684/1989
- 1) US 5,032,316


- 2) JP-A-41389/1990
- 2) US 5,006,277

37 CFR 1.98(a)(3)(i) A concise explanation of the relevance as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the information, of each patent, publication, or other information listed that is not in the English language. The following references are being submitted under this provision with an English abstract:

- 5) JP-A-265166/2000
- 6) JP-B2-2514423/1996
- 7) JP-B2-2946763/1999
- 8) JP-A-64278/2003
- 9) JP-A-229635/1991
- 10) JP-A-314781/1992

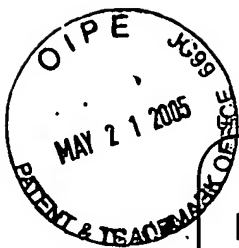
Respectfully submitted,

Date: May 21, 2005


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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet two of two

Complete if Known

Application Number	10/525,848
Filing Date	February 22, 2005
First Named Inventor	Masakazu KOBAYASHI
Art Unit	
Examiner Name	
Attorney Docket Number	02165PCT

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials ²	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	3	DABBOUSI et al., (CdSe)ZnS Core-Shell Quantum Dots: Synthesis and Characterization of a Size Series of Highly Luminescent Nanocrystallites, J. Phys. Chem. B, 1997, pages 9463-9475, Vol. 101, No. 46, American Chemical Society, Washington, D.C.	

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Considered^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

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